DT02 Rec'd PCT/PTO

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV 01-2003) 0180.0031 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION No. (if known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 0/510661 CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 16 April 2002 (16.04.02) PCT/US03/11689 16 April 2003 (16.04.03) TITLE OF INVENTION HIGH-PERFORMANCE AND LOW-COST PLASTIC SOLAR CELLS APPLICANT(S) FOR DO/EO/US Yang, Yang; Chen, Fang-Chung Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and 21 indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2) is attached herewith (required only if not transmitted by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). a. 🗍 b. 🔲 have been transmitted by the International Bureau c. 🔲 have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). '10. □ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern other document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13.  $\square$ A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: **CERTIFICATION UNDER 37 CFR 1.10** I hereby certify that on 07 October 2004 this document and all listed attachments are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" mailing Label Number \_\_\_\_\_ED 442446713 US \_\_\_\_addressed to the Commissioner of Paterlas MAIL STOP PCT, "Express Mail Post Office to Addressee" mailing Label Number <u>ED 442446713 US</u> ATTN:RO/US, PO BOX 1450, ALEXANDRIA, VA 22313-1450. Debbey Beatty

(Type or print name of person mailing paper)

Signature of person mailing paper

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				1	ATTORNEY'S DOCKET NUMBER		
10/51066 PCT/US03/11689					0180.0031		
21. The following fees are submitted:					<u>ALCULATIONS</u>	PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(15)):  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  and International Search Report not prepared by EPO or  JPO							
International preliminary examination fee (37 CFR 1.482) not paid to \$920.00 USPTO but International Search Report prepared by the EPO or JPO							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO							
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)							
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)							
ENTER APPROPRIATE BASIC FEE AMOUNT =					790.00		
Processing fee of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				n S	130.00		
Claims	Number Filed	Number Extra	Rate	\$	0.00		
Total claims	72 - 20 =	52	x \$18.0	0 \$	936.00		
Independent claims	2 - 3 =	0	x \$86.0	0 \$	0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) x \$280.00					0.00		
TOTAL OF ABOVE CALCULATIONS =					1856.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				e   \$	928.00		
SUBTOTAL =					928.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					0.00		
TOTAL NATIONAL FEE =					928.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be					928.00		
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					0.00		
TOTAL FEES ENCLOSED =					928.00		
					Amount to be		
		V.		<u> </u>	refunded:	\$	
				<u> </u>	charged	\$	
<ul> <li>a. A check in the amount of \$ 928.00 to cover the above fees is enclosed.</li> <li>b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.</li> </ul>							
A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:					Uldenbern	2	
DAVID J. OLDENKAMP, ESQ.					2	/	
SHAPIRO & DUPONT LLP  233 WILSHIRE BOULEVARD  DAVID J. OLDENK NAME				KAM	IP	<del>.</del> .	
SUITE 700  SANTA MONICA, CALIFORNIA, 00401  29,421							
SANTA MONICA, CALIFORNIA 90401  (310) 319-5411  REGISTRATION NU				IUME	BER	<del></del>	